

# Efficient sampling of random colorings

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Random Graphs and its Application for Complex Networks

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*joint work with Michelle Delcourt and Luke Postle.*

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$k$ : positive integer, number of colours.

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**Q:** Is it possible to approximate  $|\Omega_k(G)|$  efficiently?

A **fully polynomial-time randomised approximation scheme (FPRAS)**, is a randomised algorithm that runs in polynomial time in  $n$  and  $1/\delta$  whose output is  $(1 \pm \delta)|\Omega_k(G)|$  with “high” probability.

A **fully polynomial-time almost uniform sampler (FPAUS)**, is a randomised algorithm that runs in polynomial time in  $n$  that generates an element of  $\Omega_k(G)$  according to a probability distribution that is “close” to uniform.

**Jerrum, Valiant, Vazirani (1986)**: for colourings

Approximate Counting (FPRAS)  $\Leftrightarrow$  Approximate Sampling (FPAUS)

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- Let  $X_{t+1}(v) = X_t(v)$ , for  $v \neq u$ .
- If  $c \notin X_t(N(u))$  then  $X_{t+1}(u) = c$ ;  
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**Remarks:**

- $k \geq \Delta + 1 \Rightarrow |\Omega_k(G)| > 0$  (greedy algorithm).
- $(X_t)$  is aperiodic, symmetric and reversible.
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### Conjecture (Folklore)

If  $k \geq \Delta + 2$ , Glauber dynamics for  $k$ -colourings of  $G$  on  $n$  vertices satisfies

$$t_{\text{Glauber}}(\epsilon) = n^{O(1)} \quad (\text{stronger version: } O(n \log n)).$$

**Conjecture:** If  $k \geq \Delta + 2$ , then  $t_{\text{Gla}} = n^{O(1)}$  (stronger:  $O(n \log n)$ ).

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Better bounds for classes of graphs:

- Large girth
- Planar graphs
- Trees
- Bounded treewidth
- Erdős-Rényi random graphs

## Bubley and Dyer (1997):

- Define pre-metric  $(\Gamma, \omega)$  with  $V(\Gamma) = \Omega_k(G)$  and  $\omega : E(\Gamma) \rightarrow [0, 1]$ .
- Let  $d$  be the metric induced by  $(\Gamma, \omega)$  on  $\Omega_k(G)$  using minimum weight paths.
- Define a coupling  $(X_t, X'_t) \rightarrow (X_{t+1}, X'_{t+1})$  for  $X_t X'_t \in E(\Gamma)$ .
- If there exists  $\alpha > 0$  such that for every  $X_t X'_t \in E(\Gamma)$

$$\mathbb{E}[\nabla(d)] := \mathbb{E}[d(X_{t+1}, X'_{t+1}) - d(X_t, X'_t)] < -\alpha ,$$

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**Obstacle:** atypical pairs  $(X_t, X'_t)$ .

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**Theorem** (Delcourt, P., Postle (2018))

There exists  $\eta > 0$  such that Glauber dynamics for  $k$ -colourings has mixing time  $O(n^2)$  provided that  $k \geq (11/6 - \eta)\Delta$ . ( $\eta \approx 1.2 \cdot 10^{-5}$ )

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Both proofs also extend to list-colourings (only  $k \geq 2\Delta$  was known).

**Kempe component:** given  $\sigma \in \Omega_k(G)$ ,  $u \in V(G)$ ,  $c \in [k]$

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Also converges to a uniform colouring

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- Choose  $u$  *uar* in  $V(G)$ .
- Choose  $c$  *uar* in  $[k]$ .
- Let  $S = S_\sigma(u, c)$  and  $\ell = |S|$ .  
With probability  $p_\ell/\ell$ ,  $Y_{t+1}$  obtained from  $Y_t$  by flipping  $S$ ;  
otherwise,  $Y_{t+1} = \sigma$ .

Also converges to a uniform colouring

Mixing time:  $t_{\text{flip}}$

Glauber dynamics

Flip dynamics with  $p_\ell = \ell$

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- **Vigoda (1999)**: If  $k > (1 + \epsilon)\Delta$ , one can simulate each move in flip dynamics with  $O(1)$  moves in Glauber dynamics:

$$t_{\text{Glauber}} = O(n \log k \cdot t_{\text{flip}})$$

### Bubley and Dyer (1997):

- Define pre-metric  $(\Gamma, \omega)$  with  $V(\Gamma) = \Omega_k(G)$  and  $\omega : E(\Gamma) \rightarrow [0, 1]$ .
- Let  $d$  be the metric induced by  $(\Gamma, \omega)$  on  $\Omega_k(G)$  using minimum weight paths.
- Define a coupling  $(Y_t, Y'_t) \rightarrow (Y_{t+1}, Y'_{t+1})$  for  $Y_t Y'_t \in E(\Gamma)$ .
- If there exists  $\alpha > 0$  such that for every  $Y_t Y'_t \in E(\Gamma)$

$$\mathbb{E}[\nabla(d)] := \mathbb{E}[d(Y_{t+1}, Y'_{t+1}) - d(Y_t, Y'_t)] < -\alpha ,$$

then

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**Obstacle:** atypical pairs  $(Y_t, Y'_t)$ .

**Ways to overcome it:**

- *Burn-in method:* multi-step analysis, run the chains until we reach a typical pair (**Chen, Moitra**).
- *Extremal metric:* single-step analysis with metric tailored to favour typical pairs (**Delcourt, P., Postle**).

$\Gamma$ : graph on  $\Omega_k(G)$  where  $\sigma$  and  $\tau$  are adjacent iff they only differ at a vertex  $v$ .

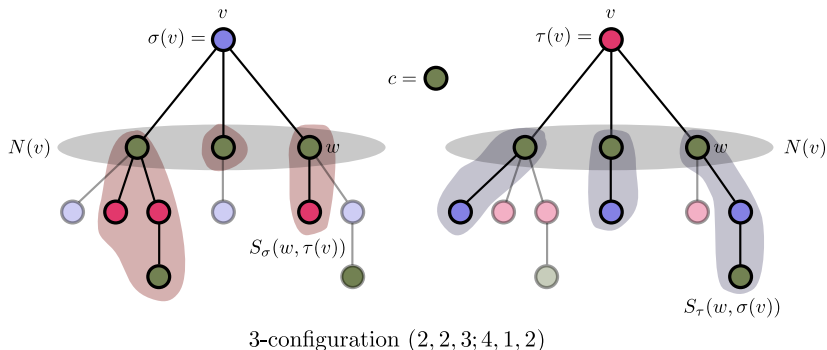


# Pre-metric $(\Gamma, \omega)$ and configurations

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$(\sigma, \tau)$  has an  $r$ -configuration  $(a_1, \dots, a_r; b_1, \dots, b_r)$  for  $c$  if

- $N(v) \cap \sigma^{-1}(c) = \{w_1, \dots, w_r\}$ ;
- $|S_\tau(w_i, \sigma(v))| = a_i$  for  $i \in [r]$ ;
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A configuration is **extremal** if

- $r = 1$  and it is either  $(2; 1)$  or  $(1; 2)$ ;
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Let  $\beta_{\sigma, \tau}$  be the proportion of vertices  $w \in N(v)$  such that  $\sigma(w) = c$  and the configuration for  $c$  is extremal. For  $\gamma > 0$  sufficiently small,

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We consider the pre-metric  $(\Gamma, \omega)$ , that extends to a metric  $d$ .

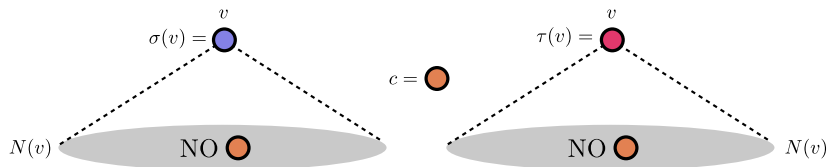
$$d(\sigma, \tau) = d_H(\sigma, \tau) - d_B(\sigma, \tau)$$

where  $d_H$  is the Hamming distance. If  $\gamma = 0$ , then  $d = d_H$  (**Vigoda, 1999**).

## Vigoda's coupling and change of $d_H$

Given  $\sigma, \tau$  that differ only at  $v$  and  $c \in [k]$ :

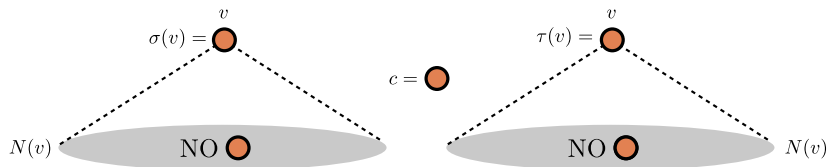
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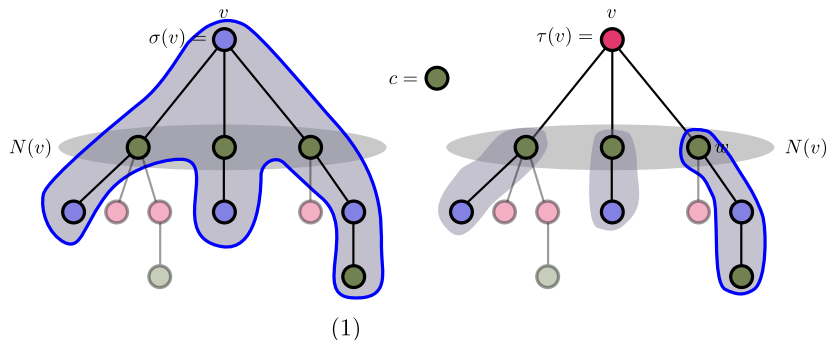
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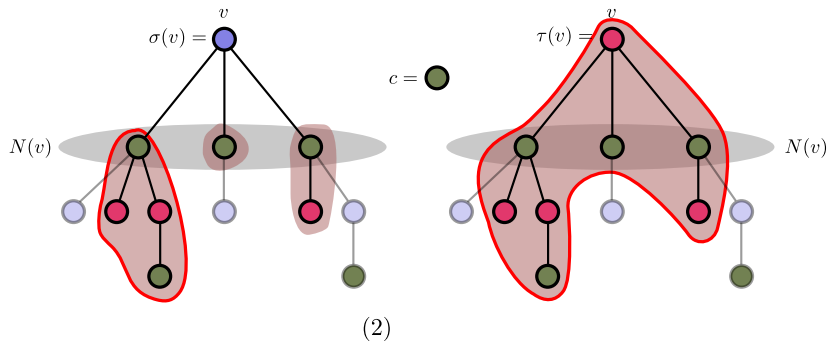
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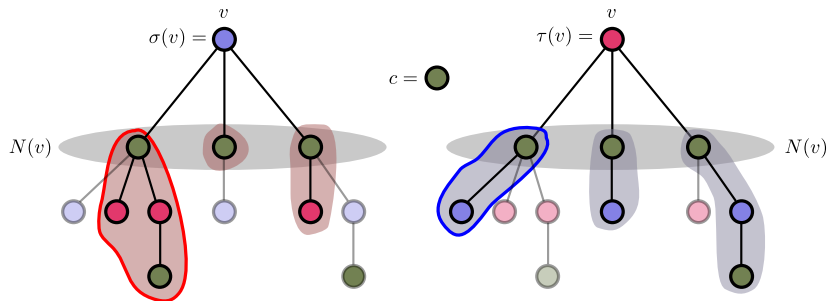
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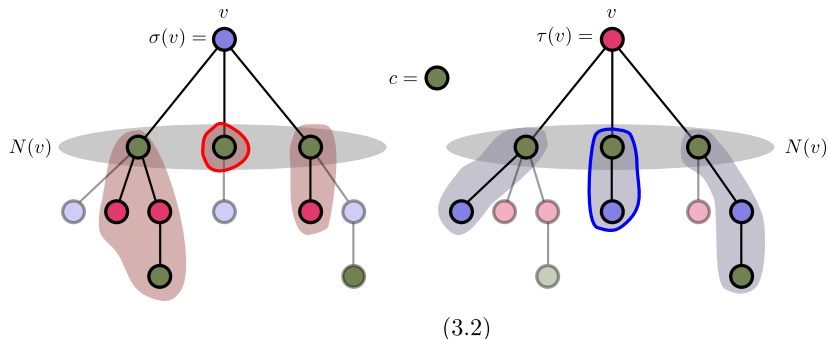
(3.1)



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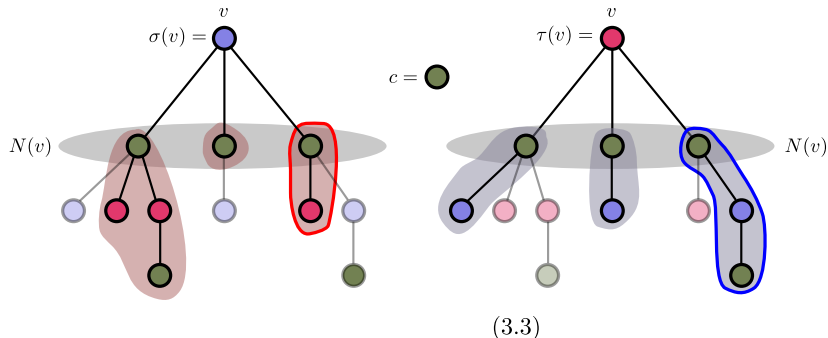
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Under the Hamming metric  $d = d_H$  ( $\gamma = 0$ ), if we have

$$f(a_1, \dots, a_r; b_1, \dots, b_r) \leq \kappa r - 1 .$$

then we get rapid mixing for  $k \geq \kappa \Delta + 1$

$$\mathbb{E}[\nabla(d_H)] \leq \frac{1}{kn} \sum_{c \in [k]} f(\text{configuration for } c) \leq \frac{1}{kn} (\kappa |N(v)| - k) < -\frac{1}{kn} =: -\alpha .$$

Minimise:  $\kappa$

subject to:

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Constraints for extremal configurations:

$$f(2; 1) = p_1 - p_3 \leq \kappa - 1$$

$$f(3, 3; 1, 1) = 2p_1 + 4p_3 - p_7 \leq 2\kappa - 1$$

Since  $p_1 = 1$  and  $p_7 \geq 0$ , it follows that  $\kappa \geq 11/6$ .

Moreover, if  $\kappa = 11/6$ , then  $p_3 = 1/6$  and  $p_7 = 0$ .



**Vigoda:**  $\kappa = 11/6$ ,  $\mathbf{p}_{\text{Vig}} = (1, \frac{13}{42}, \frac{1}{6}, \frac{2}{21}, \frac{1}{21}, \frac{1}{84}, 0, 0, \dots)$ , optimal solution,

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Using  $\mathbf{p}^*$ , for each non-extremal  $r$ -configuration we have

$$f(a_1, \dots, a_r; b_1, \dots, b_r) \leq \frac{161}{88}r - 1.$$



**Vigoda:**  $\kappa = 11/6$ ,  $\mathbf{p}_{\text{Vig}} = (1, \frac{13}{42}, \frac{1}{6}, \frac{2}{21}, \frac{1}{21}, \frac{1}{84}, 0, 0, \dots)$ , optimal solution,

$$f(a_1, \dots, a_r; b_1, \dots, b_r) \leq \frac{11}{6}r - 1 \Rightarrow \mathbb{E}(\nabla(d_H)) \leq \frac{1}{kn} \left( \frac{11}{6}\Delta - k \right) \leq -\alpha.$$

$\kappa = 11/6$  is optimal ... but there exist infinitely many solutions!

$(a_1, \dots, a_r; b_1, \dots, b_r)$  is **p-extremal** if  $f(a_1, \dots, a_r; b_1, \dots, b_r) = \frac{11}{6}r - 1$ .

- there are 6  $\mathbf{p}_{\text{Vig}}$ -extremal configurations:  $(2; 1)$ ,  $(3; 1)$ ,  $(4; 1)$ ,  $(5; 1)$ ,  $(2, 2; 1, 1)$  and  $(3, 3; 1, 1)$ .

**Delcourt, P. , Postle:**  $\kappa = 11/6$ ,  $\mathbf{p}^* = (1, \frac{185}{616}, \frac{1}{6}, \frac{47}{462}, \frac{9}{154}, \frac{2}{77}, 0, 0, \dots)$ .

- there are only 2  $\mathbf{p}^*$ -extremal configurations:  $(2; 1)$  and  $(3, 3; 1, 1)$ .

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Let  $\epsilon = \frac{11}{6} - \frac{161}{88} \approx 0.00378$ . The expected change of  $d_H$  is

$$kn \mathbb{E}(\nabla(d_H)) \leq \left( \frac{11}{6}\beta_{\sigma, \tau} + \frac{161}{88}(1 - \beta_{\sigma, \tau}) \right) \Delta - k = \left( \frac{11}{6} - \epsilon(1 - \beta_{\sigma, \tau}) \right) \Delta - k$$

We need to prove that  $kn \mathbb{E}(\nabla(d)) \leq -\alpha$ . Recall that

$$\omega(\sigma, \tau) = 1 - \gamma(1 - \beta_{\sigma, \tau}) , \quad \beta_{\sigma, \tau} \text{ fraction neigh. in extremal conf.}$$

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$$kn \mathbb{E}(\nabla(d_B)) \geq C\gamma\beta_{\sigma, \tau}\Delta ,$$

for some small  $C > 0$ , and we are done.



## Conjecture (Folklore)

If  $k \geq \Delta + 2$ , Glauber dynamics for  $k$ -colourings of  $G$  on  $n$  vertices satisfies

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# MERCI POUR VOTRE ATTENTION