

# Une classe d'inéquations variationnelles implicites et applications à des problèmes quasistatiques de contact

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# Quasistatic Contact Problems

- A. Signorini (1933, 1959), G. Fichera (1964, 1972) , G. Duvaut and J.L. Lions (1972) - static elastic problems with unilateral contact
- J. Nečas, J. Jarušek, J. Haslinger (1980), J. Jarušek (1983) - unilateral contact with local Coulomb friction
- J. Martins and J. T. Oden (1985, 1987) - normal compliance laws
- J.J. Telega (1991) - variational formulation of quasistatic elastic problems with unilateral contact and Coulomb friction
- L.E. Andersson (2000), M.C. and Rocca (2000, 2001) - mathematical analysis of quasistatic elastic problems with unilateral contact and local Coulomb friction
- C. Eck, J. Jarušek, and J. Stará (2013) - normal compliance contact models with finite interpenetration.
- M. Sofonea and co-workers (1993,...) - contact problems with friction in viscoplasticity and viscoelasticity
- P.J. Rabier and O.V. Savin (2000) - an intermediate pointwise contact condition in the static case
- M.C. (2014, 2015) - dynamic contact with intermediate contact conditions between two viscoelastic bodies.

# An implicit variational inequality

For simplicity and in view of applications to contact mechanics, we shall confine attention to the case when  $\Omega$  is an open, bounded, connected set  $\Omega \subset \mathbb{R}^d$ ,  $d = 2, 3$ , with the boundary  $\Gamma \in C^{1,1}$  and with  $\Xi$  an open part of  $\Gamma$ . Denote  $\Xi_T := \Xi \times (0, T)$ , where  $0 < T < +\infty$ , and define

$$L_-^2(\Xi) := \{\delta \in L^2(\Xi); \delta \leq 0 \text{ a.e. in } \Xi\},$$

$$L_-^2(\Xi_T) := \{\delta \in L^2(0, T; L^2(\Xi)); \delta \leq 0 \text{ a.e. in } \Xi_T\}.$$

Let  $\underline{\kappa}, \bar{\kappa} : \mathbb{R} \rightarrow \mathbb{R}$  be two mappings with  $\underline{\kappa}$  lower semicontinuous and  $\bar{\kappa}$  upper semicontinuous, satisfying the following conditions:

$$\underline{\kappa}(s) \leq \bar{\kappa}(s) \leq 0 \quad \forall s \in \mathbb{R}, \tag{1}$$

$$\exists r_0 \geq 0 \text{ such that } |\underline{\kappa}(s)| \leq r_0 \quad \forall s \in \mathbb{R}. \tag{2}$$

For every  $\zeta \in L^2(\Xi)$ , define the following nonempty subset of  $L_-^2(\Xi)$ :

$$\Lambda(\zeta) = \{\eta \in L_-^2(\Xi); \underline{\kappa} \circ \zeta \leq \eta \leq \bar{\kappa} \circ \zeta \text{ a.e. in } \Xi\}. \tag{3}$$

Since  $\text{meas}(\Xi) < \infty$  and  $\underline{\kappa}, \bar{\kappa}$  satisfy (2), it is also readily seen that for all  $\zeta \in L^2(\Xi)$  the set  $\Lambda(\zeta)$  is bounded in norm in  $L^2(\Xi)$  by  $R_0 = r_0(\text{meas}(\Xi))^{1/2}$  and in  $L^\infty(\Xi)$  by  $r_0$ .

Let  $(V, \|\cdot\|, \langle \cdot, \cdot \rangle)$  and  $(U, \|\cdot\|_U)$  be two Hilbert spaces such that  $V \subset U$  with continuous and compact embedding.

Consider a functional  $F : V \rightarrow \mathbb{R}$  differentiable on  $V$  and assume that its derivative  $F' : V \rightarrow V$  is strongly monotone and Lipschitz continuous, that is there exist two constants  $\alpha, \beta > 0$  such that for all  $u, v \in V$

$$\alpha \|v - u\|^2 \leq \langle F'(v) - F'(u), v - u \rangle \quad (4)$$

and

$$\|F'(v) - F'(u)\| \leq \beta \|v - u\|. \quad (5)$$

It is easily seen that for all  $u, v \in V$  it results

$$\begin{aligned} \langle F'(u), v - u \rangle + \frac{\alpha}{2} \|v - u\|^2 &\leq F(v) - F(u) \\ &\leq \langle F'(u), v - u \rangle + \frac{\beta}{2} \|v - u\|^2. \end{aligned} \quad (6)$$

We remark that since  $F$  satisfies (6), it follows that  $F$  is strictly convex and sequentially weakly lower semicontinuous on  $V$ .

Let  $(X, \|\cdot\|_X)$  be a Hilbert space such that  $X \subset L^2(\Gamma)$  with continuous and compact embedding, and  $l_0 : V \rightarrow X$ ,  $l : V \rightarrow L^2(\Xi)$ ,  $\phi : L^2_-(\Xi) \times V \rightarrow \mathbb{R}$  satisfying

$$l_0 \text{ is linear and continuous,} \tag{7}$$

$$\begin{aligned} &\exists k_1 > 0 \text{ such that } \forall v_1, v_2 \in V, \\ &\|l(v_1) - l(v_2)\|_{L^2(\Xi)} \leq k_1 \|v_1 - v_2\|_V, \end{aligned} \tag{8}$$

$$\begin{aligned} &\forall \gamma, \delta \in L^2_-(\Xi), \forall v, w \in V \text{ verifying } \gamma \in \Lambda(l(v)) \text{ and } \delta \in \Lambda(l(w)), \\ &\langle \gamma - \delta, l_0(v - w) \rangle_{L^2(\Xi)} \leq 0. \end{aligned} \tag{9}$$

$$\begin{aligned} &\forall \gamma \in L^2_-(\Xi), \forall \theta \geq 0, \forall v_1, v_2, v \in V, \\ &\phi(\gamma, v_1 + v_2) \leq \phi(\gamma, v_1) + \phi(\gamma, v_2), \end{aligned} \tag{10}$$

$$\phi(\gamma, \theta v) = \theta \phi(\gamma, v), \tag{11}$$

$$\forall v \in V, \phi(0, v) = 0, \tag{12}$$

$\exists k_2, k_3 > 0$  such that  $\forall \gamma, \delta \in L^2_-(\Xi), \forall v \in V,$

$$|\phi(\gamma, v) - \phi(\delta, v)| \leq k_2 \|\gamma - \delta\|_{L^2(\Xi)} \|v\|_U, \quad (13)$$

$$|\phi(\gamma, v) - \phi(\delta, v)| \leq k_3 \|\gamma - \delta\|_{X'} \|v\|, \quad (14)$$

$\exists k_4 > 0$  such that  $\|\gamma_1 - \gamma_2\|_{X'} \leq k_4(\|u_1 - u_2\| + \|f_1 - f_2\|),$  (15)

for all  $\gamma_{1,2} \in L^2_-(\Xi), u_{1,2}, f_{1,2}, d_{1,2} \in V$  verifying

$$(Q_1) \langle F'(u_1), v - u_1 \rangle - \langle \gamma_1, l_0(v - u_1) \rangle_{L^2(\Xi)}$$

$$+ \phi(\gamma_1, v - d_1) - \phi(\gamma_1, u_1 - d_1) \geq \langle f_1, v - u_1 \rangle \quad \forall v \in V,$$

$$(Q_2) \langle F'(u_2), v - u_2 \rangle - \langle \gamma_2, l_0(v - u_2) \rangle_{L^2(\Xi)}$$

$$+ \phi(\gamma_2, v - d_2) - \phi(\gamma_2, u_2 - d_2) \geq \langle f_2, v - u_2 \rangle \quad \forall v \in V,$$

and we assume that  $k_3 k_4 < \alpha.$  (16)

Let  $f \in W^{1,2}(0, T; V)$ ,  $u^0 \in V$ ,  $\lambda^0 \in \Lambda(I(u^0))$  be given and satisfy the following compatibility condition:

$$\begin{aligned} & \langle F'(u^0), v - u^0 \rangle - \langle \lambda^0, l_0(v - u^0) \rangle_{L^2(\Xi)} \\ & + \phi(\lambda^0, v) - \phi(\lambda^0, u^0) \geq \langle f(0), v - u^0 \rangle \quad \forall v \in V. \end{aligned} \quad (17)$$

Consider the following problem.

**Problem Q:** Find  $u \in W^{1,2}(0, T; V)$ ,  $\lambda \in W^{1,2}(0, T; X')$  such that  $u(0) = u^0$ ,  $\lambda(0) = \lambda^0$ ,  $\lambda(t) \in \Lambda(I(u(t)))$  for almost all  $t \in (0, T)$ , and

$$\begin{aligned} & \langle F'(u), v - \dot{u} \rangle - \langle \lambda, l_0(v - \dot{u}) \rangle_{L^2(\Xi)} + \phi(\lambda, v) \\ & - \phi(\lambda, \dot{u}) \geq \langle f, v - \dot{u} \rangle \quad \forall v \in V \quad \text{a.e. on } (0, T). \end{aligned} \quad (18)$$



# Incremental formulations

For  $n \in \mathbb{N}^*$ , we set  $\Delta t := T/n$ ,  $t_i := i \Delta t$ ,  $i = 0, 1, \dots, n$ . If  $\theta$  is a continuous function of  $t \in [0, T]$  valued in some vector space, we use the notations  $\theta^i := \theta(t_i)$  unless  $\theta = u$ , and if  $\varpi^i$ ,  $\forall i \in \{0, 1, \dots, n\}$ , are elements of some vector space, then we set

$$\partial \varpi^i := \frac{\varpi^{i+1} - \varpi^i}{\Delta t}, \quad \Delta \varpi^i := \varpi^{i+1} - \varpi^i \quad \forall i \in \{0, 1, \dots, n-1\}.$$

We approximate the problem  $Q$  using the following sequence of incremental problems  $(Q^{i,n})_{i=0,1,\dots,n-1}$ .

**Problem  $Q^{i,n}$ :** Find  $u^{i+1} \in V$ ,  $\lambda^{i+1} \in \Lambda(I(u^{i+1}))$  such that

$$\begin{aligned} \langle F'(u^{i+1}), v - \partial u^i \rangle - \langle \lambda^{i+1}, l_0(v - \partial u^i) \rangle_{L^2(\Xi)} + \phi(\lambda^{i+1}, v) \\ - \phi(\lambda^{i+1}, \partial u^i) \geq \langle f^{i+1}, v - \partial u^i \rangle \quad \forall v \in V. \end{aligned} \quad (19)$$

For all  $i \in \{0, 1, \dots, n-1\}$  the problem  $Q^{i,n}$  is equivalent to the following implicit variational inequality:

**Problem  $\hat{Q}^{i,n}$ :** Find  $u^{i+1} \in V$ ,  $\lambda^{i+1} \in \Lambda(I(u^{i+1}))$  such that

$$\begin{aligned} \langle F'(u^{i+1}), v - u^{i+1} \rangle - \langle \lambda^{i+1}, l_0(v - u^{i+1}) \rangle_{L^2(\Xi)} + \phi(\lambda^{i+1}, v - u^i) \\ - \phi(\lambda^{i+1}, u^{i+1} - u^i) \geq \langle f^{i+1}, v - u^{i+1} \rangle \quad \forall v \in V. \end{aligned} \quad (20)$$

Let us define the following functions:

$$u_n(0) = \hat{u}_n(0) = u^0, \quad \lambda_n(0) = \lambda^0, \quad f_n(0) = f^0 \quad \text{and}$$

$$\forall i \in \{0, 1, \dots, n-1\}, \quad \forall t \in (t_i, t_{i+1}],$$

$$u_n(t) = u^{i+1}, \quad \lambda_n(t) = \lambda^{i+1},$$

$$\hat{u}_n(t) = u^i + (t - t_i) \partial u^i,$$

$$\hat{\lambda}_n(t) = \lambda^i + (t - t_i) \partial \lambda^i, \quad f_n(t) = f^{i+1}.$$

Then for all  $n \in N^*$  each of the sequences of inequalities  $(Q^{i,n})_{i=0,1,\dots,n-1}$ ,

$(\hat{Q}^{i,n})_{i=0,1,\dots,n-1}$  is equivalent to the following incremental formulation.

**Problem  $Q^n$** : Find  $u_n \in L^2(0, T; V)$ ,  $\lambda_n \in L^2(\Xi_T)$  such that  $\lambda_n(t) \in \Lambda(I(u_n(t)))$   $\forall t \in (0, T)$  and

$$\begin{aligned} \langle F'(u_n(t)), v - \frac{d}{dt} \hat{u}_n(t) \rangle - \langle \lambda_n(t), l_0(v - \frac{d}{dt} \hat{u}_n(t)) \rangle_{L^2(\Xi)} \\ + \phi(\lambda_n(t), v) - \phi(\lambda_n(t), \frac{d}{dt} \hat{u}_n(t)) \end{aligned} \quad (21)$$

$$\geq \langle f_n(t), v - \frac{d}{dt} \hat{u}_n(t) \rangle \quad \forall v \in V, \quad \text{a.e. on } (0, T).$$

We prove the existence of a fixed point of the multifunction  $\Phi^i$  by using a corollary of the Ky Fan's fixed point theorem in the particular case of a reflexive Banach space.

### Definition

Let  $Y$  be a reflexive Banach space,  $D$  a weakly closed set in  $Y$ , and  $\Phi : D \rightarrow 2^Y \setminus \{\emptyset\}$  be a multivalued function.  $\Phi$  is called sequentially weakly upper semicontinuous if  $z_p \rightharpoonup z$ ,  $y_p \in \Phi(z_p)$  and  $y_p \rightharpoonup y$  imply  $y \in \Phi(z)$ .

### Proposition

*Let  $Y$  be a reflexive Banach space,  $D$  a convex, closed and bounded set in  $Y$ , and  $\Phi : D \rightarrow 2^D \setminus \{\emptyset\}$  a sequentially weakly upper semicontinuous multivalued function such that  $\Phi(z)$  is convex for every  $z \in D$ . Then  $\Phi$  has a fixed point.*

### Theorem

*Assume that (1 - 5), (7 - 14) hold. Then there exists  $\lambda \in L^2_-(\Xi)$  such that  $\lambda \in \Phi^i(\lambda)$  and  $(u^{i+1}, \lambda^{i+1}) = (u_\lambda, \lambda)$  is a solution of the problem  $\hat{Q}^{i,n}$ .*

# Existence of a solution to the continuous problem

We now establish some useful estimates independent of  $n$  for the solutions of the incremental formulations  $\hat{Q}^{i,n}$  and  $Q^n$ .

## Lemma

Under the above hypotheses, for all  $n \in N^*$  and all  $i \in \{0, 1, \dots, n-1\}$  the following estimates hold:

$$\|u^{i+1}\| \leq C_1(\|\lambda^{i+1}\|_{X'} + \|f^{i+1}\| + \|F'(0)\|),$$

$$\|\Delta u^i\| \leq \frac{k_3}{\alpha} \|\Delta \lambda^i\|_{X'} + \frac{1}{\alpha} \|\Delta f^i\|,$$

$$\|\Delta \lambda^i\|_{X'} \leq k_4(\|\Delta u^i\| + \|\Delta f^i\|),$$

$$\|\Delta u^i\| \leq C_2 \|\Delta f^i\|,$$

$$\|\Delta \lambda^i\|_{X'} \leq C_3 \|\Delta f^i\|,$$

where  $C_2 = \frac{k_3 k_4 + 1}{\alpha - k_3 k_4}$ ,  $C_3 = \frac{(\alpha + 1)k_4}{\alpha - k_3 k_4}$ .

## Lemma

For all  $n \in \mathbb{N}^*$

$$\|u_n(t)\| \leq C_1(\|\lambda_n(t)\|_{X'} + \|f_n(t)\| + \|F'(0)\|) \quad \forall t \in [0, T], \quad (27)$$

$$\|u_n(t) - \hat{u}_n(t)\| \leq \frac{T}{n} \left\| \frac{d}{dt} \hat{u}_n(t) \right\| \leq C_2 \left\| f_n(t) - f_n\left(t - \frac{T}{n}\right) \right\| \quad (28)$$

$$\leq C_2 \int_{t - \frac{T}{n}}^{\min\{t + \frac{T}{n}, T\}} \|\dot{f}(\tau)\| \, d\tau \quad \forall t \in [0, T],$$

$$\|\lambda_n(t) - \hat{\lambda}_n(t)\|_{X'} \leq \frac{T}{n} \left\| \frac{d}{dt} \hat{\lambda}_n(t) \right\|_{X'} \leq C_3 \left\| f_n(t) - f_n\left(t - \frac{T}{n}\right) \right\| \quad \forall t \in [0, T], \quad (29)$$

$$\|u_n - \hat{u}_n\|_{L^2(0, T; V)} = \frac{T}{n\sqrt{3}} \left\| \frac{d}{dt} \hat{u}_n \right\|_{L^2(0, T; V)} \leq C_2 \frac{T}{n\sqrt{3}} \|\dot{f}\|_{L^2(0, T; V)}, \quad (30)$$

$$\|\lambda_n - \hat{\lambda}_n\|_{L^2(0, T; X')} = \frac{T}{n\sqrt{3}} \left\| \frac{d}{dt} \hat{\lambda}_n \right\|_{L^2(0, T; X')} \leq C_3 \frac{T}{n\sqrt{3}} \|\dot{f}\|_{L^2(0, T; V)}. \quad (31)$$

## Lemma

There exist subsequences of  $(u_n, \hat{u}_n)_n$  and  $(\lambda_n, \hat{\lambda}_n)_n$ , denoted by  $(u_{n_p}, \hat{u}_{n_p})_p$  and  $(\lambda_{n_p}, \hat{\lambda}_{n_p})_p$ , respectively, and two elements  $u \in W^{1,2}(0, T; V)$ ,  $\lambda \in W^{1,2}(0, T; X') \cap L^2(\Xi_T)$  such that

$$u_{n_p}(t) \rightharpoonup u(t) \text{ in } V \quad \forall t \in [0, T], \quad (32)$$

$$\hat{u}_{n_p} \rightharpoonup u \text{ in } W^{1,2}(0, T; V), \quad (33)$$

$$\lambda_{n_p}(t) \rightharpoonup \lambda(t) \text{ in } X' \quad \forall t \in [0, T], \quad (34)$$

$$\lambda_{n_p}, \hat{\lambda}_{n_p} \rightharpoonup \lambda \text{ in } L^2(0, T; L^2(\Xi)), \quad (35)$$

$$\hat{\lambda}_{n_p} \rightharpoonup \lambda \text{ in } W^{1,2}(0, T; X'), \quad (36)$$

$$\liminf_{p \rightarrow \infty} \int_0^T \phi(\lambda_{n_p}(t), \frac{d}{dt} \hat{u}_{n_p}(t)) dt \geq \int_0^T \phi(\lambda(t), \frac{d}{dt} \hat{u}(t)) dt. \quad (37)$$

### Theorem

Under the assumptions (1 - 5), (7 - 16), every convergent subsequence of the previous lemma,  $(u_{n_p}, \hat{u}_{n_p})_p$ ,  $(\lambda_{n_p}, \hat{\lambda}_{n_p})_p$ , and their limits  $u \in W^{1,2}(0, T; V)$ ,  $\lambda \in W^{1,2}(0, T; X') \cap L^2(\Xi_T)$  have the following strong convergence properties

$$u_{n_p}(t) \rightarrow u(t) \text{ in } V \quad \forall t \in [0, T], \quad (38)$$

$$\lambda_{n_p}(t) \rightarrow \lambda(t) \text{ in } X' \quad \forall t \in [0, T], \quad (39)$$

and  $(u, \lambda)$  is a solution to the problem Q.

*Proof.* The sequence  $(\hat{Q}^{i,n})_{i=0,1,\dots,n-1}$  implies that for every  $t \in [0, T]$

$$\langle F'(u_n(t)), v - u_n(t) \rangle - \langle \lambda_n(t), l_0(v - u_n(t)) \rangle_{L^2(\Xi)} \quad (40)$$

$$+ \phi(\lambda_n(t), v - u_n(t)) \geq \langle f_n(t), v - u_n(t) \rangle \quad \forall v \in V,$$

and taking  $v = u$ , by (6) we derive

$$F(u(t)) - F(u_{n_p}(t)) - \langle \lambda_{n_p}(t), l_0(u(t) - u_{n_p}(t)) \rangle_{L^2(\Xi)} \quad (41)$$

$$+ \phi(\lambda_{n_p}(t), u(t) - u_{n_p}(t)) \geq \langle f_{n_p}(t), u(t) - u_{n_p}(t) \rangle + \frac{\alpha}{2} \|u(t) - u_{n_p}(t)\|^2 \quad \forall p \in \mathbb{N}.$$

Using that  $F$  is sequentially weakly lower semicontinuous, (7), (13), the compact embeddings  $X \subset L^2(\Xi)$ ,  $V \subset U$  and that for all  $t \in [0, T]$   $(\lambda_{n_p}(t))_\rho$  is bounded in  $L^2(\Xi)$  by  $R_0$ , the previous relation implies

$$\begin{aligned}
 & \limsup_{\rho \rightarrow \infty} \frac{\alpha}{2} \|u(t) - u_{n_p}(t)\|^2 \\
 & \leq F(u(t)) + \limsup_{\rho \rightarrow \infty} (-F(u_{n_p}(t))) + \lim_{\rho \rightarrow \infty} |\langle \lambda_{n_p}(t), l_0(u(t) - u_{n_p}(t)) \rangle_{L^2(\Xi)}| \\
 & \quad + \lim_{\rho \rightarrow \infty} \phi(\lambda_{n_p}(t), u(t) - u_{n_p}(t)) - \lim_{\rho \rightarrow \infty} \langle f_{n_p}(t), u(t) - u_{n_p}(t) \rangle \\
 & \leq F(u(t)) - \liminf_{\rho \rightarrow \infty} F(u_{n_p}(t)) + \lim_{\rho \rightarrow \infty} \|\lambda_{n_p}(t)\|_{L^2(\Xi)} \|l_0(u(t) - u_{n_p}(t))\|_{L^2(\Xi)} \\
 & \quad + \lim_{\rho \rightarrow \infty} k_2 \|\lambda_{n_p}(t)\|_{L^2(\Xi)} \|u(t) - u_{n_p}(t)\|_U - \lim_{\rho \rightarrow \infty} \langle f_{n_p}(t), u(t) - u_{n_p}(t) \rangle \\
 & = F(u(t)) - \liminf_{\rho \rightarrow \infty} F(u_{n_p}(t)) \leq 0,
 \end{aligned}$$

which proves (38).



Now, we shall use the following compactness theorem proved by Simon (1987).

### Theorem

Let  $\hat{X}$ ,  $\hat{U}$  and  $\hat{Y}$  be three Banach spaces such that  $\hat{X} \subset \hat{U} \subset \hat{Y}$  with compact embedding from  $\hat{X}$  into  $\hat{U}$ .

(i) Let  $\mathcal{G}$  be bounded in  $L^p(0, T; \hat{X})$ , where  $1 \leq p < \infty$ , and  $\partial\mathcal{G}/\partial t := \{\dot{f}; f \in \mathcal{G}\}$  be bounded in  $L^1(0, T; \hat{Y})$ . Then  $\mathcal{G}$  is relatively compact in  $L^p(0, T; \hat{U})$ .

(ii) Let  $\mathcal{G}$  be bounded in  $L^\infty(0, T; \hat{X})$  and  $\partial\mathcal{G}/\partial t$  be bounded in  $L^r(0, T; \hat{Y})$ , where  $r > 1$ . Then  $\mathcal{G}$  is relatively compact in  $C([0, T]; \hat{U})$ .

By the Simon's theorem with  $\mathcal{G} = (\hat{\lambda}_{n_p})_p$ ,  $\hat{X} = L^2(\Xi)$ ,  $\hat{U} = H^{\iota-1/2}(\Xi)$ ,  $\hat{Y} = X'$ ,  $r = 2$ ,  $0 < \iota < \frac{1}{2}$ , it follows that

$$\hat{\lambda}_{n_p} \rightarrow \lambda \text{ in } C([0, T]; X'), \quad (42)$$

so that by (29) we obtain (39).

It remains to prove that  $(u, \lambda)$  is a solution of the problem  $Q$ .

First, since  $\lambda_{n_p}(t) \in \Lambda(I(u_{n_p}(t)))$  for all  $t \in (0, T)$ , we have

$$\int_{\omega} \underline{\kappa} \circ I(u_{n_p}) \leq \int_{\omega} \lambda_{n_p} \leq \int_{\omega} \bar{\kappa} \circ I(u_{n_p}), \quad (43)$$

for every measurable subset  $\omega \subset \Xi_T$  and for all  $p \in \mathbb{N}$ .

Using (38), (8), the semi-continuity of  $\underline{\kappa}$  and  $\bar{\kappa}$ , the relations (1), (2), (35), which implies the convergence property  $\int_{\omega} \lambda_{n_p} \rightarrow \int_{\omega} \lambda$ , and passing to limits according to Fatou's lemma, we obtain

$$\int_{\omega} \underline{\kappa} \circ I(u) \leq \int_{\omega} \lambda \leq \int_{\omega} \bar{\kappa} \circ I(u), \quad (44)$$

for every measurable subset  $\omega \subset \Xi_T$ , which implies  $\lambda(t) \in \Lambda(I(u(t)))$  for almost all  $t \in (0, T)$ .

Second, integrating both sides in (21) over  $[0, T]$  and passing to the limit, by the relations (38), (39), (33), (37), it follows that for all  $v \in L^2(0, T; V)$

$$\begin{aligned} & \int_0^T \langle F'(u(t)), v(t) - \dot{u}(t) \rangle dt - \int_0^T \langle \lambda(t), l_0(v(t) - \dot{u}(t)) \rangle_{L^2(\Xi)} dt \\ & + \int_0^T \phi(\lambda(t), v(t)) dt - \int_0^T \phi(\lambda(t), \dot{u}(t)) dt \geq \int_0^T \langle f(t), v(t) - \dot{u}(t) \rangle dt. \end{aligned}$$

By Lebesgue's theorem, it follows that  $(u, \lambda)$  is a solution of the problem Q.

# Applications to two quasistatic contact problems

Consider an elastic body occupying the set  $\Omega \subset \mathbb{R}^d$ ,  $d = 2, 3$ , with  $\Gamma = \bar{\Gamma}_1 \cup \bar{\Gamma}_2 \cup \bar{\Gamma}_3$ , where  $\Gamma_1, \Gamma_2, \Gamma_3$  are open, disjoint parts of  $\Gamma$  and  $\text{meas}(\Gamma_1) > 0$ . Assume the small deformation hypothesis and that the inertial effects are negligible.

Denote by  $\mathbf{u} = \mathbf{u}(\mathbf{x}, t)$  the displacement field, by  $\boldsymbol{\varepsilon}$  the infinitesimal strain tensor and by  $\boldsymbol{\sigma}$  the stress tensor, with the components  $u = (u_i)$ ,  $\boldsymbol{\varepsilon} = (\varepsilon_{ij})$  and  $\boldsymbol{\sigma} = (\sigma_{ij})$ , respectively. We use the classical decompositions  $\mathbf{u} = u_N \mathbf{n} + \mathbf{u}_T$ ,  $u_N = \mathbf{u} \cdot \mathbf{n}$ ,  $\boldsymbol{\sigma} \mathbf{n} = \sigma_N \mathbf{n} + \boldsymbol{\sigma}_T$ ,  $\sigma_N = (\boldsymbol{\sigma} \mathbf{n}) \cdot \mathbf{n}$ , where  $\mathbf{n}$  is the outward normal unit vector to  $\Gamma$  with the components  $n = (n_i)$ . The usual summation convention will be used for  $i, j, k, l = 1, \dots, d$ .

Consider the Hilbert space  $\mathbf{V}$  and the closed convex sets  $L_-^2(\Gamma_3)$ ,  $\Lambda_1(\zeta)$  as follows:

$$\mathbf{V} = \{\mathbf{v} \in H^1(\Omega; \mathbb{R}^d); \mathbf{v} = \mathbf{0} \text{ a.e. on } \Gamma_1\},$$

$$L_-^2(\Gamma_3) := \{\delta \in L^2(\Gamma_3); \delta \leq 0 \text{ a.e. in } \Gamma_3\},$$

$$\Lambda_1(\zeta) = \{\eta \in L_-^2(\Gamma_3); \underline{\kappa} \circ \zeta \leq \eta \leq \bar{\kappa} \circ \zeta \text{ a.e. in } \Gamma_3\} \quad \forall \zeta \in L^2(\Gamma_3).$$

Assume that in  $\Omega$  a body force  $\varphi_1 \in W^{1,2}(0, T; L^2(\Omega; \mathbb{R}^d))$  is prescribed, on  $\Gamma_1$  the displacement vector equals zero and on  $\Gamma_2$  a traction  $\varphi_2 \in W^{1,2}(0, T; L^2(\Gamma_2; \mathbb{R}^d))$  is applied.

On  $\Gamma_3$ , the contact between the body and a support is possible with the initial gap denoted by  $g_0$  and the gap corresponding to the solution  $\mathbf{u}$  denoted by  $[u_N] := u_N - g_0$ . We assume that there exists  $\mathbf{g} \in \mathbf{V}$  such that  $g_N = g_0$  on  $\Gamma_3$ . On the potential contact surface  $\Gamma_3$ , the displacements and the stress vector will satisfy some contact conditions having the following form:

$$\underline{\kappa}([u_N]) \leq \sigma_N \leq \bar{\kappa}([u_N]).$$

Assume that, for all  $\gamma, \delta \in L^2_-(\Gamma_3)$  and all  $\mathbf{v}, \mathbf{w} \in \mathbf{V}$  such that  $\gamma \in \Lambda_1([v_N])$ ,  $\delta \in \Lambda_1([w_N])$ ,

$$\langle \gamma - \delta, v_N - w_N \rangle_{L^2(\Gamma_3)} \leq 0. \quad (45)$$

*Example 1. (Friction conditions with controlled normal stress)*


Let  $M \geq 0$  be a constant and define

$$\underline{\kappa}(s) = \underline{\kappa}_M(s) = \begin{cases} 0 & \text{if } s < 0, \\ -M & \text{if } s \geq 0, \end{cases} \quad \bar{\kappa}(s) = \bar{\kappa}_M(s) = \begin{cases} 0 & \text{if } s \leq 0, \\ -M & \text{if } s > 0. \end{cases}$$

The classical Signorini's conditions correspond formally to  $M = +\infty$ .

*Example 2. (Normal compliance conditions)*

Various normal compliance conditions and friction laws can be obtained if one considers  $\underline{\kappa} = \bar{\kappa} = \kappa$ , where  $\kappa : \mathbb{R} \rightarrow \mathbb{R}$  is some negative, decreasing, and bounded Lipschitz continuous function, so that  $\sigma_N$  is given by the relation  $\sigma_N = \kappa([u_N])$ .

It is easily seen that these two examples verify the condition (45). 

Let  $\mathcal{F} \geq 0$  be the coefficient of friction, assumed to be a Lipschitz continuous function on  $\Gamma$ , which ensures to belong to the set of the multipliers on  $H^{1/2}(\Gamma)$  denoted by  $\mathcal{M}$ . Therefore the mapping  $H^{1/2}(\Gamma) \ni v \mapsto \mathcal{F}v \in H^{1/2}(\Gamma)$  is bounded with norm  $\|\mathcal{F}\|_{\mathcal{M}}$ . In order to describe the frictional contact conditions on  $\Gamma_3$ , we define

$$\forall I \in \mathbf{V}, \mathbf{S}_I := \left\{ \mathbf{v} \in \mathbf{V}; \int_{\Omega} \boldsymbol{\sigma}(\mathbf{v}) \cdot \boldsymbol{\varepsilon}(\psi) dx = \langle I, \psi \rangle_{\mathbf{V}} \right. \\ \left. \forall \psi \in \mathbf{V} \text{ such that } \psi = \mathbf{0} \text{ a.e. on } \Gamma_3 \right\},$$

$$\mathbf{L} \in \mathbf{V}, \langle \mathbf{L}, \mathbf{w} \rangle_{\mathbf{V}} = \langle \varphi_1, \mathbf{w} \rangle_{L^2(\Omega; \mathbb{R}^d)} + \langle \varphi_2, \mathbf{w} \rangle_{L^2(\Gamma_2; \mathbb{R}^d)} \quad \forall \mathbf{w} \in \mathbf{V},$$

$$\forall \mathbf{v} \in \mathbf{S}_{\mathbf{L}}, \langle \sigma_N(\mathbf{v}), w \rangle_{\Gamma} = \int_{\Omega} \boldsymbol{\sigma}(\mathbf{v}) \cdot \boldsymbol{\varepsilon}(\bar{\mathbf{w}}) dx - \langle \mathbf{L}, \bar{\mathbf{w}} \rangle_{\mathbf{V}} \quad \forall w \in H^{1/2}(\Gamma),$$

where  $\langle \cdot, \cdot \rangle_{\Gamma}$  denotes the duality pairing on  $H^{-1/2}(\Gamma) \times H^{1/2}(\Gamma)$ ,  $\bar{\mathbf{w}} \in \mathbf{V}$  satisfies  $\bar{\mathbf{w}}_T = \mathbf{0}$  a.e. on  $\Gamma_3$ ,  $\bar{\mathbf{w}}_N = w$  a.e. on  $\Gamma_3$ . It is easy to verify that for all  $\mathbf{v} \in \mathbf{S}_{\mathbf{L}}$   $\sigma_N(\mathbf{v})$  depends only on the values of  $w$  on  $\Gamma_3$  and not on the choices of  $\bar{\mathbf{w}}$  having the above properties.

# A contact problem for a nonlinear Hencky material

Assume that the elastic body satisfies the following nonlinear Hencky-Mises constitutive equation:

$$\boldsymbol{\sigma}(\mathbf{u}) = \hat{\boldsymbol{\sigma}}(\mathbf{u}) = \left(k - \frac{2}{3} \mu(\hat{\gamma}(\mathbf{u}))\right) (\text{tr } \boldsymbol{\varepsilon}(\mathbf{u})) \mathbf{I} + 2 \mu(\hat{\gamma}(\mathbf{u})) \boldsymbol{\varepsilon}(\mathbf{u}),$$

where  $k$  is the constant bulk modulus,  $\mu$  is a continuously differentiable function in  $[0, +\infty)$  satisfying

$$0 < \mu_0 \leq \mu(r) \leq \frac{3}{2} k, \quad 0 < \mu_1 \leq \mu(r) + 2 \frac{\partial \mu(r)}{\partial r} r \leq \mu_2, \quad \forall r \geq 0, \quad (46)$$

and, for all  $\mathbf{u}, \mathbf{v} \in \mathbf{V}$ ,

$$\hat{\gamma}(\mathbf{u}) := \hat{\gamma}(\mathbf{u}, \mathbf{u}), \quad \hat{\gamma}(\mathbf{u}, \mathbf{v}) = -\frac{2}{3} \vartheta(\mathbf{u}) \vartheta(\mathbf{v}) + 2 \boldsymbol{\varepsilon}(\mathbf{u}) \cdot \boldsymbol{\varepsilon}(\mathbf{v}), \quad \vartheta(\mathbf{u}) := \text{tr } \boldsymbol{\varepsilon}(\mathbf{u}) = \text{div } \mathbf{u}.$$

Consider the following quasistatic contact problem with Coulomb friction.

**Problem  $P_1^c$** : Find  $\mathbf{u}$  such that  $\mathbf{u}(0) = \mathbf{u}_0$  and, for all  $t \in (0, T)$ ,

$$\operatorname{div} \boldsymbol{\sigma}(\mathbf{u}) = -\varphi_1 \text{ in } \Omega, \quad (47)$$

$$\boldsymbol{\sigma}(\mathbf{u}) = \hat{\boldsymbol{\sigma}}(\mathbf{u}) \text{ in } \Omega, \quad (48)$$

$$\mathbf{u} = \mathbf{0} \text{ on } \Gamma_1, \boldsymbol{\sigma} \mathbf{n} = \varphi_2 \text{ on } \Gamma_2, \quad (49)$$

$$\underline{\kappa}([u_N]) \leq \sigma_N \leq \bar{\kappa}([u_N]) \text{ on } \Gamma_3, \quad (50)$$

$$|\boldsymbol{\sigma}_T| \leq \mathcal{F} |\sigma_N| \text{ and} \quad (51)$$

$$\dot{\mathbf{u}}_T \neq \mathbf{0} \Rightarrow \boldsymbol{\sigma}_T = -\mathcal{F} |\sigma_N| \frac{\dot{\mathbf{u}}_T}{|\dot{\mathbf{u}}_T|} \text{ on } \Gamma_3.$$

Let  $F_1 : \mathbf{V} \rightarrow \mathbb{R}$  be defined by

$$F_1(\mathbf{v}) = \frac{1}{2} k \int_{\Omega} \vartheta^2(\mathbf{v}) dx + \frac{1}{2} \int_{\Omega} \left( \int_0^{\hat{\gamma}(\mathbf{v})} \mu(r) dr \right) dx \quad \forall \mathbf{v} \in \mathbf{V}, \quad (52)$$

and  $J : L_-^2(\Gamma_3) \times \mathbf{V} \rightarrow \mathbb{R}$  be defined by

$$J(\gamma, \mathbf{v}) = - \int_{\Gamma_3} \mathcal{F} \gamma |\mathbf{v}_T| ds \quad \forall \gamma \in L_-^2(\Gamma_3), \forall \mathbf{v} \in \mathbf{V}. \quad (53)$$

$F_1$  is differentiable on  $\mathbf{V}$  and for all  $\mathbf{u}, \mathbf{v} \in \mathbf{V}$

$$\langle F'_1(\mathbf{u}), \mathbf{v} \rangle_{\mathbf{V}} = \int_{\Omega} \left[ \left( k - \frac{2}{3} \mu(\hat{\gamma}(\mathbf{u})) \right) \vartheta(\mathbf{u}) \vartheta(\mathbf{v}) + 2 \mu(\hat{\gamma}(\mathbf{u})) \boldsymbol{\varepsilon}(\mathbf{u}) \cdot \boldsymbol{\varepsilon}(\mathbf{v}) \right] dx. \quad (54)$$

Let  $\mathbf{u}_0 \in \mathbf{V}$ ,  $\lambda^0 \in \Lambda_1([u_{0N}])$  satisfy the following compatibility condition:

$$\begin{aligned} \langle F'_1(\mathbf{u}_0), \mathbf{v} - \mathbf{u}_0 \rangle_{\mathbf{V}} - \langle \lambda^0, v_N - u_{0N} \rangle_{L^2(\Gamma_3)} \\ + J(\lambda^0, \mathbf{v}) - J(\lambda^0, \mathbf{u}_0) \geq \langle \mathbf{L}(0), \mathbf{v} - \mathbf{u}_0 \rangle_{\mathbf{V}} \quad \forall \mathbf{v} \in \mathbf{V}. \end{aligned} \quad (55)$$

We have the following variational formulation of problem  $P_1^c$ .

**Problem  $P_1^c$ :** Find  $\mathbf{u} \in W^{1,2}(0, T; \mathbf{V})$ ,  $\lambda \in W^{1,2}(0, T; H^{-1/2}(\Gamma))$  such that  $\mathbf{u}(0) = \mathbf{u}_0$ ,  $\lambda(0) = \lambda^0$ ,  $\lambda(t) \in \Lambda_1([u_N(t)])$  for almost all  $t \in (0, T)$ , and

$$\begin{aligned} \langle F'_1(\mathbf{u}), \mathbf{v} - \dot{\mathbf{u}} \rangle_{\mathbf{V}} - \langle \lambda, v_N - \dot{u}_N \rangle_{L^2(\Gamma_3)} + J(\lambda, \mathbf{v}) \\ - J(\lambda, \dot{\mathbf{u}}) \geq \langle \mathbf{L}, \mathbf{v} - \dot{\mathbf{u}} \rangle_{\mathbf{V}} \quad \forall \mathbf{v} \in \mathbf{V} \quad \text{a.e. on } (0, T). \end{aligned} \quad (56)$$



The Lagrange multiplier  $\lambda \in L^2(\Gamma_3)$  satisfies the relation  $\sigma_N = \lambda$  in  $H^{-1/2}(\Gamma)$  that is

$$\langle \sigma_N(\mathbf{u}), \mathbf{w} \rangle_{\Gamma} = \langle \lambda, \mathbf{w} \rangle_{L^2(\Gamma_3)} \quad \forall \mathbf{w} \in H^{1/2}(\Gamma).$$

Taking  $\Xi = \Gamma_3$ ,  $\Lambda = \Lambda_1$ ,  $V = \mathbf{V}$ ,  $U = H^{\iota}(\Omega; \mathbb{R}^d)$ ,  $1 > \iota > \frac{1}{2}$ ,  $X = H^{1/2}(\Gamma)$ ,  $F = F_1$ ,  $\phi = J$ ,  $f = \mathbf{L}$ , and  $l_0(\mathbf{v}) = v_N$ ,  $l(\mathbf{v}) = [v_N] = v_N - g_0 \quad \forall \mathbf{v} \in \mathbf{V}$ , it results that the problem  $P_1^y$  is a particular case of problem  $Q$ .

It is straightforward to verify the assumptions (1 - 5), (7 - 15), and also (16) if  $\|\mathcal{F}\|_{\mathcal{M}}$  is sufficiently small, so that by the main theorem we obtain the following existence result.

### Proposition

*Under the previous assumptions and if  $\|\mathcal{F}\|_{\mathcal{M}}$  is sufficiently small there exists a solution to problem  $P_1^y$ .*

# A contact problem for a linearly elastic body

Let  $\mathcal{A}$  denote the elasticity tensor, with the components  $\mathcal{A} = (\mathcal{A}_{ijkl})$  satisfying the following classical symmetry and ellipticity conditions:  $\mathcal{A}_{ijkl} = \mathcal{A}_{jikl} = \mathcal{A}_{klij} \in L^\infty(\Omega)$ ,  $\forall i, j, k, l = 1, \dots, d$ ,  $\exists \alpha_{\mathcal{A}} > 0$  such that  $\mathcal{A}_{ijkl} \tau_{ij} \tau_{kl} \geq \alpha_{\mathcal{A}} \tau_{ij} \tau_{ij} \quad \forall \tau = (\tau_{ij})$  satisfying  $\tau_{ij} = \tau_{ji}, \forall i, j = 1, \dots, d$ .

Consider the following elastic contact problem with Coulomb friction.

**Problem  $P_2^c$** : Find  $\mathbf{u}$  such that  $\mathbf{u}(0) = \mathbf{u}_0$ , satisfying

$$\boldsymbol{\sigma}(\mathbf{u}) = \mathcal{A}\boldsymbol{\varepsilon}(\mathbf{u}) \text{ in } \Omega, \quad (57)$$

and (47), (49 - 51) for all  $t \in (0, T)$ .

Let us define the bilinear and symmetric mapping  $a : \mathbf{V} \times \mathbf{V} \rightarrow \mathbb{R}$  by

$$a(\mathbf{v}, \mathbf{w}) = \int_{\Omega} \mathcal{A}\boldsymbol{\varepsilon}(\mathbf{v}) \cdot \boldsymbol{\varepsilon}(\mathbf{w}) \, dx = \int_{\Omega} \mathcal{A}_{ijkl} \varepsilon_{ij}(\mathbf{v}) \varepsilon_{kl}(\mathbf{w}) \, dx \quad \forall \mathbf{v}, \mathbf{w} \in \mathbf{V}. \quad (58)$$

The form  $a$  is continuous on  $\mathbf{V} \times \mathbf{V}$  and, since  $\text{meas}(\Gamma_1) > 0$ , by Korn's inequality is also  $\mathbf{V}$ -elliptic.

Let  $\mathbf{u}_0 \in \mathbf{V}$ ,  $\lambda^0 \in \Lambda_1([u_{0N}])$  satisfy the following compatibility condition:

$$\begin{aligned}
 & a(\mathbf{u}_0, \mathbf{v} - \mathbf{u}_0) - \langle \lambda^0, v_N - u_{0N} \rangle_{L^2(\Gamma_3)} \\
 & + J(\lambda^0, \mathbf{v}) - J(\lambda^0, \mathbf{u}_0) \geq \langle \mathbf{L}(0), \mathbf{v} - \mathbf{u}_0 \rangle_{\mathbf{V}} \quad \forall \mathbf{v} \in \mathbf{V}.
 \end{aligned} \tag{59}$$

We have the following variational formulation of problem  $P_2^c$ .

**Problem  $P_2^v$ :** Find  $\mathbf{u} \in W^{1,2}(0, T; \mathbf{V})$ ,  $\lambda \in W^{1,2}(0, T; H^{-1/2}(\Gamma))$  such that  $\mathbf{u}(0) = \mathbf{u}_0$ ,  $\lambda(0) = \lambda^0$ ,  $\lambda(t) \in \Lambda_1([u_N(t)])$  for almost all  $t \in (0, T)$ , and

$$\begin{aligned}
 & a(\mathbf{u}, \mathbf{v} - \dot{\mathbf{u}}) - \langle \lambda, v_N - \dot{u}_N \rangle_{L^2(\Gamma_3)} + J(\lambda, \mathbf{v}) \\
 & - J(\lambda, \dot{\mathbf{u}}) \geq \langle \mathbf{L}, \mathbf{v} - \dot{\mathbf{u}} \rangle_{\mathbf{V}} \quad \forall \mathbf{v} \in \mathbf{V} \quad \text{a.e. on } (0, T).
 \end{aligned} \tag{60}$$

The Lagrange multiplier  $\lambda \in L^2(\Gamma_3)$  satisfies again the relation  $\sigma_N = \lambda$  in  $H^{-1/2}(\Gamma)$ . Taking  $\Xi, \Lambda, V, U, X, \phi, f, l_0, l$  as previously and  $F(\mathbf{v}) = \frac{1}{2}a(\mathbf{v}, \mathbf{v}) \quad \forall \mathbf{v} \in \mathbf{V}$ , we see that the problem  $P_2^v$  is a particular case of problem  $Q$  so that by using again the existence theorem one obtains the following existence result.

### Proposition

*Under the previous assumptions and if  $\|\mathcal{F}\|_{\mathcal{M}}$  is sufficiently small there exists a solution to problem  $P_2^v$ .*

# Perspectives

- Nonlinear quasistatic and dynamic unilateral contact problems with friction
- More complex contact interaction laws (in quasistatic or dynamic cases)
- Numerical analysis and solution methods